



2163

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PTO/SB/81 (02-01)

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Application Number	09/724124
Filing Date	11/28/2000
First Named Inventor	John Richard Rosenfeld
Title	Methods and Systems for Aviatio
Group Art Unit	2163
Examiner Name	
Attorney Docket Number	13DV13464

#5
JH
1-22-02

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

William Scott Andes

Signature

Date

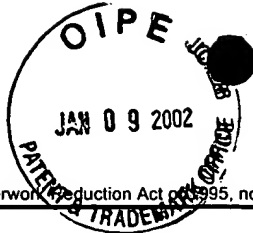
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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: GENERAL ELECTRIC CO.
Application No./Patent No.: 09/724124 Filed/Issue Date: 11/28/2000
Entitled: METHODS AND SYSTEMS FOR AVIATION PARTS, INFORMATION AND SERVICES
GENERAL ELECTRIC CO., a CORPORATION
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

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2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

10/26/01
Date

William Scott Andes
Typed or printed name
[Signature]
Signature
INTELLECTUAL PROPERTY COUNSEL
Title



13464
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FEBRUARY 28, 2001

PTAS

GENERAL ELECTRIC COMPANY
ATTN: ANDREW C. HESS
GE AIRCRAFT ENGINES
ONE NEUMANN WAY, M/D H17
CINCINNATI, OHIO 45215



*101557589A

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/28/2000

REEL/FRAME: 011343/0362
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ROSENFELD, JOHN R.

DOC DATE: 11/27/2000

ASSIGNOR:

NELSON, JOHN R.

DOC DATE: 11/27/2000

ASSIGNOR:

FREDETTE, MELINDA K.

DOC DATE: 11/27/2000

ASSIGNOR:

OVERBEEKE, HERMAN D.

DOC DATE: 11/22/2000

ASSIGNEE:

GENERAL ELECTRIC COMPANY
ONE RIVER ROAD
SCHENECTADY, NEW YORK 12345

SERIAL NUMBER: 09724124

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

011343/0362 PAGE 2

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
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12-21-2000

Docket No. 13DV13464

FORM PTO 1595
1-31-92

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JOHN R. ROSENFELD
JOHN R. NELSON
MELINDA K. FREDETTE
HERMAN D. OVERBEEKEHess
11-28-00Additional name(s) of conveying party(ies)
attached?☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: Nov 27, 2000 Nov 27, 2000
Nov 27, 2000 Nov 22, 2000

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address:

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

09/724124

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Nov 27, 2000 Nov 27, 2000 Nov 27, 2000 Nov 22, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address:

Attn: Andrew C. Hess

GE Aircraft Engines

Street Address: One Neumann Way, M/D H17

City: Cincinnati State: OH ZIP: 45215

Customer Number: 006111

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41.....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

07-0865

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

WILLIAM SCOTT ANDES

Name of Person Signing

Signature

11/28/00
Date

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12/20/2000 MTHA11 00000515 070865 09724124

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ASSIGNMENT

Docket Number

13DV13464

For good and valuable consideration, of which I acknowledge receipt, I (we)

JOHN RICHARD ROSENFELD
JOHN RANDALL NELSON
MELINDA KAY FREDETTE
HERMAN DAVID OVERBEEKE

of MASON, OHIO
of WEST CHESTER, OHIO
of CINCINNATI, OHIO
of LOVELAND, OHIO
of
of
of
of
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of
of
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of
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of

sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

METHODS AND SYSTEMS FOR AVIATION PARTS, INFORMATION AND SERVICES, which Patent Application
claims priority to U.S. Provisional Application Ser. No. 60/175,178, filed January 7, 2000.

invented by me (us), as described in the application for United States
patent

☒ executed concurrently herewith, or

☐ U.S. Serial No.: _____, filed _____

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

11-27-2000

Date

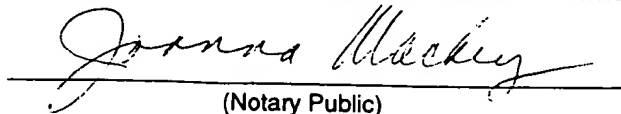

JOHN RICHARD ROSENFELD

State of OHIO) SS:

County of HAMILTON)

This 27th day of November, 2000, before me personally came the above-named JOHN RICHARD ROSENFELD to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal
JOANNA MACKEY
Notary Public, State of Ohio
My Commission Expires Feb. 24, 2001


(Notary Public)

ASSIGNMENTDocket Number
13DV1346411-27-00

Date

John Randall Nelson
JOHN RANDALL NELSONState of OHIO) SS:County of HAMILTON)

This 27th day of November, 2000, before me personally came the above-named JOHN RANDALL NELSON to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

JOANNA MACKEY
Notary Public, State of Ohio
My Commission Expires Feb. 24, 2001

Seal

Joanna Mackey
(Notary Public)11-27-2000

Date

Melinda Kay Fredette
MELINDA KAY FREDETTEState of OHIO) SS:County of HAMILTON)

This 27th day of November, 2000, before me personally came the above-named MELINDA KAY FREDETTE to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

JOANNA MACKEY
Notary Public, State of Ohio
My Commission Expires Feb. 24, 2001

Seal

Joanna Mackey
(Notary Public)NOV 22/00

Date

Herman David Overbeeke
HERMAN DAVID OVERBEEKEState of OHIO) SS:County of HAMILTON)

This 22nd day of November, 2000, before me personally came the above-named HERMAN DAVID OVERBEEKE to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

JOANNA MACKEY
Notary Public, State of Ohio
My Commission Expires Feb. 24, 2001

Seal

Joanna Mackey
(Notary Public)